



Dopamine D₃ receptor alterations in cocaine-dependent humans imaged with [¹¹C](+)-PHNO



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ABSTRACT

Background: Evidence from animal models and postmortem human studies points to the importance of the dopamine D₃ receptor (D₃R) in cocaine dependence (CD). The objective of this pilot study was to use the D₃R-preferring radioligand [¹¹C](+)-PHNO to compare receptor availability in groups with and without CD.

Methods: Ten medically healthy, non-treatment seeking CD subjects (mean age 41 ± 8) in early abstinence were compared to 10 healthy control (HC) subjects (mean age 41 ± 6) with no history of cocaine or illicit substance abuse. Binding potential (BP_{ND}), a measure of available receptors, was determined with parametric images, computed using the simplified reference tissue model (SRTM2) with the cerebellum as the reference region.

Results: BP_{ND} in CD subjects was higher in D₃R-rich areas including the substantia nigra ((SN) 29%; P = 0.03), hypothalamus (28%; P = 0.02) and amygdala (35%; P = 0.03). No between-group differences were observed in the striatum or pallidum. BP_{ND} values in the SN (r = +0.83; P = 0.008) and pallidum (r = +0.67; P = 0.03) correlated with years of cocaine use.

Conclusions: Between-group differences suggest an important role for dopaminergic transmission in the SN, hypothalamus and amygdala in CD. Such findings also highlight the potential relevance of D₃R as a medication development target in CD.

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1. Introduction

Research suggests that chronic cocaine use exerts long-lasting effects on dopaminergic systems, and these changes have been implicated in addictive processes (Koob and Volkow, 2010; Newman et al., 2012). While all dopamine receptor subtypes (Missale et al., 1998) are likely affected, the dopamine D₃ receptor (D₃R) may be particularly relevant to addictions like cocaine dependence (CD).

Animal and postmortem human studies support a role for the D₃R in CD. Anatomically, D₃R is densely present in the mesolimbic system where reward-related learning induced by cocaine occurs

(Blaylock and Nader, 2012; Stanwood et al., 2000; Xi and Gardner, 2007). Specifically, D₃R mRNA and protein in these areas show increased expression after exposure to stimulants and other drugs of abuse (Caine and Koob, 1993; Heidbreder and Newman, 2010; Neisewander et al., 2004; Staley and Mash, 1996; Xi and Gardner, 2007). Although some apparently inconsistent findings exist (Caine et al., 2012), D₃R antagonists and partial agonists inhibit the actions of cocaine in preclinical models (Heidbreder et al., 2005; Le Foll et al., 2005; Newman et al., 2012; Xi and Gardner, 2007). Given these findings and the ineffectiveness of current pharmacologic treatments for CD, the D₃R has become a target in medication development for CD.

The development of a D₃R-preferring positron emission tomography (PET) ligand, [¹¹C](+)-PHNO, has allowed in vivo neuroimaging investigations in schizophrenia, Parkinson's disease and tobacco smoking (Boileau et al., 2009; Graff-Guerrero et al., 2009; Mizrahi et al., 2011; Mugnaini et al., 2012). Directly relevant to CD,

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Table 1

Subject characteristics and radioligand information in cocaine-dependent (CD) and healthy control (HC) participants. Mean values (and standard deviation) are shown.

	CD	HC	P value
Number of subjects	10	10	–
Age, in years (mean (S.D.))	41 (8)	41 (6)	0.98
Gender	8 males; 2 females	8 males; 2 females	–
Race/ethnicity	7 AA; 2 EA; 1 Hispanic	3 AA; 7 EA	–
Body mass index (kg/m ²)	30 (7)	29 (6)	0.84
Injected mass (μg/kg)	0.028 (0.003)	0.026 (0.007)	0.30
Radioactive dose (MBq)	307 (121)	376 (131)	0.24
Specific activity (MBq/nmol)	32 (15)	46 (24)	0.15

AA: African American, EA: European American.

two PET studies using this ligand were recently completed by the same group studying polysubstance methamphetamine drug users and CD subjects (Boileau et al., 2012; Payer et al., 2014).

The first of these studies (Boileau et al., 2013) focused on primary methamphetamine users, but hair analysis disclosed cocaine metabolites in the majority of users as well. Stimulant users versus non-users showed statistically significantly elevated receptor availability (+46%; $P=0.02$) in the substantia nigra (SN), a region with a high level of expression of D₃R, but not in areas with predominant D₂R expression (e.g., striatum). In a second study of primary CD subjects and healthy controls (HC; Payer et al., 2014), elevations in receptor availability in SN that approached statistical significance (+24%; $P=0.06$) were also found in the cocaine group. In combination, these studies provide evidence of D₃R upregulation in the SN in stimulant abuse. It is not known, however, whether this process extends to other D₃R rich areas (e.g., such as the hypothalamus) that would suggest a more global D₃R upregulation in subcortical areas. In addition, the authors noted that the CD subject sample included had abstinence durations varying from 7 to 240 days on scan day, which is divergent from previous studies involving PET that focused on early cocaine abstinence (e.g., Martinez et al., 2004, 2011). Given the importance in addiction on length of abstinence in brain circuitry (Koob and Volkow, 2010), it remains relevant to elucidate any possible D₃R differences with an early cocaine abstinence cohort.

The objective of the current pilot study is to use the D₃R-preferring PET radioligand [¹¹C](+)-PHNO to investigate whether individuals with primary CD have elevated binding potential values in D₃R-expressing regions (e.g., the SN and hypothalamus) versus comparison subjects in early abstinence.

2. Patients and methods

2.1. Subjects

Ten medically healthy, non-treatment seeking CD subjects were compared to 10 age-matched healthy control (HC) subjects without significant alcohol or illicit substance use in the past 3 months (demographic and injection measures are shown in Table 1). Eligibility was confirmed through comprehensive psychiatric histories and clinical semi-structured interviews (e.g., the Mini-International Neuropsychiatric Interview or M.I.N.I.) or SCID-1 (Structured Clinical Interview for the Diagnostic and Statistical Manual of Mental Disorders, 4th Edition, Axis I disorders), a physical exam with medical history, routine laboratory studies, pregnancy tests, urine toxicology and electrocardiograms (ECGs).

CD participants were required to meet DSM IV criteria for CD, be between the ages of 18 and 50, use cocaine via a high-potency, rapid-onset route of administration (i.e., smoked or intravenous), have a history of regular and recent cocaine use and provide objective evidence of recent use (i.e., benzoylecgonine positivity) on urine toxicology testing. Clinical characteristics of all participants are shown (Table 2).

Individuals were excluded for evidence of a diagnosis of current or lifetime severe Axis I psychiatric disorders (e.g., schizophrenia or bipolar disorder), current or past serious medical or neurological illness (including a history of head injury with loss of consciousness), current pregnancy (as documented by pregnancy testing at screening and on the day of the PET imaging study), breast feeding or general MRI exclusion criteria. All subjects had not received any medications (pharmacotherapy) for a minimum of 6 weeks at the time of scanning.

The study was performed under protocols approved by the Yale Human Investigation, Yale University Radiation Safety, Yale-New Haven Hospital (YNHH) Radiation Safety, and Yale MRI Safety Committees. Subjects were recruited from New Haven and surrounding areas by advertisement, word of mouth and referrals. Written informed consent was obtained from all participants after a full explanation of study procedures.

2.2. Radiochemistry

Carbon 11-labeled (+)-4-propyl-9-hydroxynaphthoxazine [¹¹C](+)-PHNO is a D₂/D₃ receptor agonist radiotracer that has D₃R preferring properties. [¹¹C](+)-PHNO was prepared as reported before by N-acylation of the despropyl precursor with [¹¹C]propionyl chloride followed by reduction of the resulting amide with lithium aluminum hydride and purification by reverse-phase high performance liquid chromatography (HPLC; Gallezot et al., 2012). The requisite radioisotope [¹¹C]CO₂ was produced with the PETtrace cyclotron (GE Medical Systems, Milwaukee, WI) and purified via the PETtrace Standard Chemistry System. The fraction containing the product was formulated into 9% ethanolic saline by solid-phase extraction, followed by filtration through 0.22-μm Millipore membrane, with a mean single intravenous injection of 341 ± 128 MBq and a mean specific activity of 39 ± 20 MBq/nmol.

2.3. Scanning and imaging procedures

All scans used a high-resolution research tomograph (HRRT) (Siemens/CTI, Knoxville, TN, USA), which acquired 207 slices (1.2 mm slice separation) with a reconstructed image resolution of ~3 mm. A transmission scan with a ¹³⁷Cs point source was obtained before the emission scan. The PET scans were acquired for 120 min at rest.

Structural magnetic resonance images were performed on a Siemens 3-T Trio system (Siemens Medical Solutions, Malvern, PA) with a circularly polarized head coil for each subject to exclude individuals with anatomical abnormalities and for coregistration. The dimension and voxel size of MR images were 256 mm × 256 mm × 176 mm and 0.98 mm × 0.98 mm × 1.0 mm, respectively.

Dynamic PET scan data were reconstructed with all corrections (attenuation; normalization; scatter; randoms; deadtime and motion), using the MOLAR algorithm (Carson et al., 2003) with the following frame timing: 6 × 30 s; 3 × 1 min; 2 × 2 min; 22 × 5 min. Motion correction was based on an optical detector (Vicra, NDI Systems, Waterloo, Ontario, Canada).

A summed image (0–10 min after injection) was created from the motion-corrected PET data and registered to the subject's MR image, which in turn was nonlinearly registered to a MR template (Montreal Neurological Institute space). All transformations were performed with Bioimagesuite (version 2.5; <http://www.bioimagesuite.com>). PET data were used to produce a time-activity curve for the cerebellum, which has minimal D₃R binding and was used as the reference as in previous studies (Boileau et al., 2012; Ginovart et al., 2007; Mizrahi et al., 2011; Searle et al., 2010). Parametric images of the binding potential (BP_{ND}), which is linearly proportional to the density of available D₂/D₃ receptors, were computed using a simplified reference tissue model (2-parameter version: SRTM2). This method has been previously validated (Wu and Carson, 2002) and was used to

Table 2

Substance use characteristics of CD and HC participants. Mean values (and standard deviation) are shown.

	CD	HC
Years of cocaine use	19 (7)	0
Weekly cocaine use in USD and grams	\$395 (262) 2.8 g (1.9)	0
Weekly ETOH use (drinks)	14 (23)	1 (2)
Daily nicotine use (cigarettes)	11 (6)	0
Cannabis use in the last week (joints)	1 (3)	0
Days from last cocaine use to PET scan	7 (4)	N/A

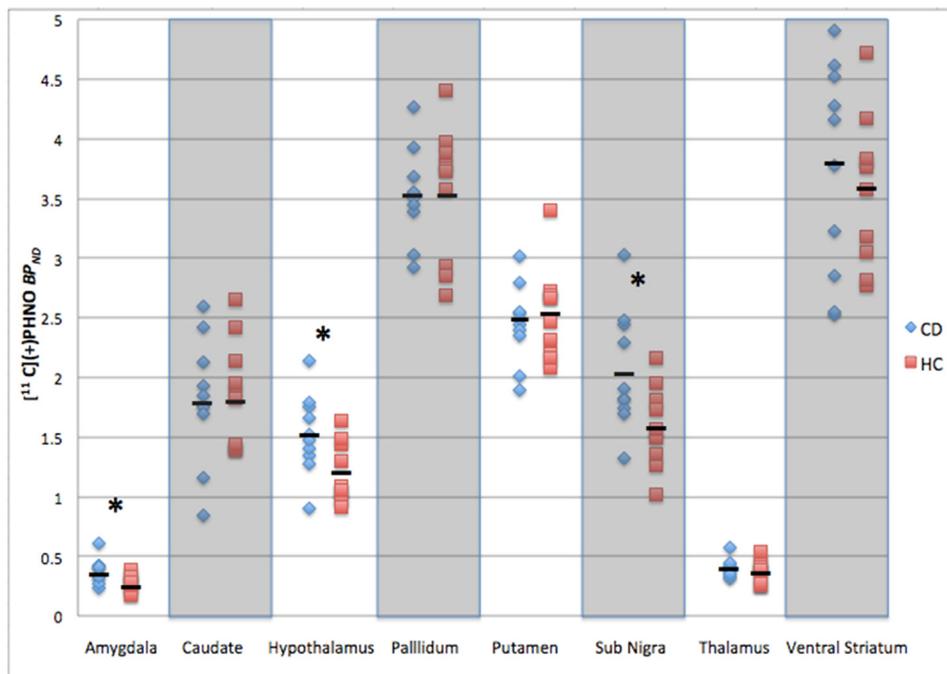


Fig. 1. Individual subject BP_{ND} values are shown for each region ($N=10$ for each group; CD in blue and HC in red). Short bold lines denote group mean values (per Table 3). Asterisks denote statistical significance ($P=0.03$ for both the amygdala and SN and $P=0.02$ for the hypothalamus). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of the article.)

optimize the statistical quality of the SRTM used in prior studies by reducing noise of the functional images.

Regions of interest (ROI) included the amygdala, caudate, hypothalamus, pallidum, putamen, SN, thalamus and ventral striatum and were based on the Anatomical Automatic Labeling (AAL) template delineated on MR (Tzourio-Mazoyer et al., 2002) with the exception of hand-drawn ventral striatum and SN templates. The ventral striatum template was based on guidelines from Mawlawi et al. (2001) The SN was manually delineated on BP_{ND} images in template space as previously described (Lee et al., 2012).

2.4. Statistical analysis

All outcomes were summarized descriptively and assessed for normality prior to analysis using normal probability plots and Kolmogorov test statistics. All outcomes were approximately normal. Linear mixed models were used to examine the independent and joint effects of group (between-subjects factor) and region of interest (within-subjects) on BP_{ND} values. Between-group contrasts within each region were estimated to explain significant interactions. Within-subject correlations were accounted for by fitting three variance-covariance structures to the data (unstructured, compound symmetry, and heterogeneous compound symmetry) with an unstructured form fitting the data best according to the Bayesian Information Criterion (BIC). Gender, age, BMI, and injection dose were considered as covariates in the above models but were not significant and dropped for parsimony. Among CD subjects, potential associations between background variables (e.g., years of use, age) and BP_{ND} levels within each region were evaluated using correlation analysis. Correlations were not adjusted for multiple tests given the exploratory nature of this analysis. All analyses were conducted using SAS, version 9.1 (Cary, NC).

3. Results

The main effect of diagnostic group on BP_{ND} levels was not significant ($F_{1,18} = 1.34$, $P = 0.26$). However, a significant diagnostic-group-by-region interaction effect ($F_{7,18} = 3.53$, $P = 0.01$) was observed. Table 3 shows mean BP_{ND} values for all ROIs. Fig. 1 shows individual subject BP_{ND} values within each group and region. Higher BP_{ND} values in CD (versus HC) individuals were seen in the amygdala ($F_{1,18} = 5.82$, $P = 0.03$; +35%), hypothalamus ($F_{1,18} = 6.22$, $P = 0.02$; +28%) and SN ($F_{1,18} = 5.96$, $P = 0.03$; +29%). Findings persisted when covarying for age, gender, body mass index (BMI) and PET injection parameters.

Within CD individuals, positive associations were observed between years of cocaine use and $[^{11}\text{C}](+)\text{PHNO } BP_{ND}$ in the SN (Fig. 2A; $r = +0.83$; $P = 0.008$) and pallidum (Fig. 2B; $r = +0.67$; $P = 0.03$). Weekly cocaine use (in dollars spent) correlated with the amygdala ($r = +0.66$; $P = 0.04$). No other factors examined (age, gender, BMI, radioligand parameters, alcohol use, nicotine use, or days since last cocaine use) correlated with regional brain BP_{ND} availability within this cohort.

4. Discussion

In this study, our major findings were higher $[^{11}\text{C}](+)\text{PHNO } BP_{ND}$ values in the SN, hypothalamus and amygdala in CD as compared with HC individuals. Among CD subjects, years of cocaine use were correlated with BP_{ND} values in the SN and pallidum. Despite differences in abstinence periods (on average 7 days for the current study versus 19 days from the polysubstance methamphetamine and 50 days for the previous CD study), overall the recent findings complement those from previous studies showing higher $[^{11}\text{C}](+)\text{PHNO}$ binding in the SN (Boileau et al., 2012; Payer et al., 2014). The results in the hypothalamus and amygdala have not been reported before, and as such represent novel findings. Implications are discussed in further detail below.

Table 3

Mean BP_{ND} values (with standard deviation) for each ROI. Percent difference between CD and HC subjects is tabulated.

BP_{ND} mean (S.D.)	CD	HC	ΔCD (%)	P value
Amygdala	0.38 (0.11)	0.28 (0.28)	+35	0.03
Caudate	1.81 (0.53)	1.86 (0.45)	-2	0.85
Hypothalamus	1.52 (0.33)	1.19 (0.25)	+28	0.02
Pallidum	3.53 (0.39)	3.56 (0.55)	-1	0.88
Putamen	2.45 (0.33)	2.54 (0.39)	-4	0.58
Substantia nigra	2.05 (0.50)	1.59 (0.34)	+29	0.03
Thalamus	0.40 (0.07)	0.38 (0.09)	+6	0.55
Ventral striatum	3.74 (0.89)	3.57 (0.63)	+5	0.63

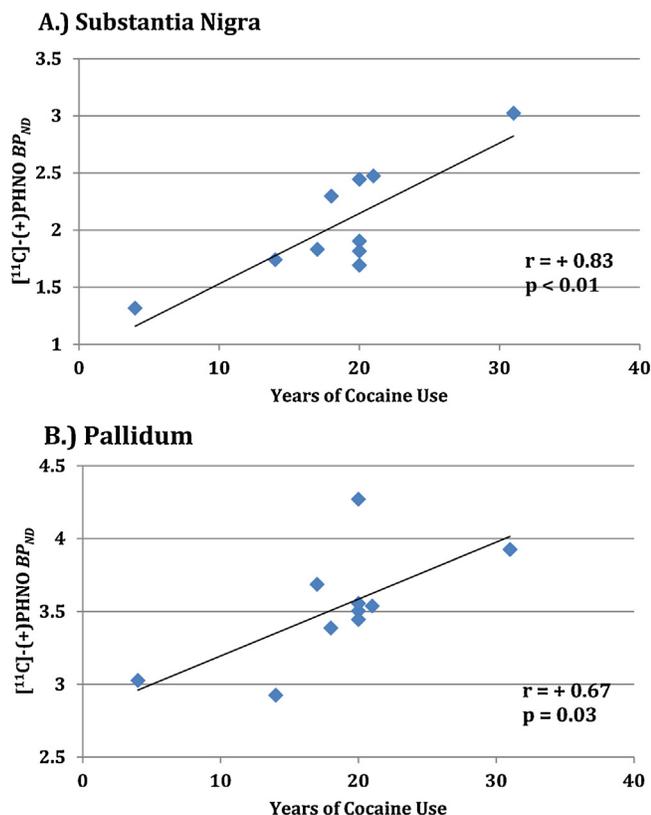


Fig. 2. BP_{ND} and years of cocaine use reported by participants are shown for the (A) substantia nigra and (B) pallidum. Correlation (r) and significance (p) values are displayed.

Previous work with [¹¹C]raclopride and a D₃R antagonist have indicated that the [¹¹C](+)PHNO signal can be considered to be relatively specific for D₂R or D₃R depending upon brain region (Graff-Guerrero et al., 2008; Searle et al., 2010; Tziortzi et al., 2011), with some studies attributing 100% of the SN and hypothalamus to D₃R (Gallezot et al., 2012; Searle et al., 2010; Tziortzi et al., 2011). Therefore, these two regions are arguably a reasonable representation of a “pure” D₃R signal with [¹¹C](+)PHNO and these elevated BP_{ND} values could represent a global D₃R up-regulation in CD. Further, the correlation between BP_{ND} values and years of cocaine use suggests that chronic cocaine use may lead to D₃R up-regulation, although longitudinal studies are needed to test this hypothesis directly. While up-regulation of the D₃R seems the most plausible explanation, the increase in BP_{ND} values may alternatively result from decreased endogenous dopamine (leading to higher ligand binding) in CD participants. Any possible differences in endogenous dopamine levels between the groups could be especially sensitive to [¹¹C](+)PHNO as the binding values of D₃R have higher affinities for dopamine than do other dopamine receptors, making D₃R-preferring ligands particularly sensitive to endogenous dopamine levels (Schotte et al., 1996; Sokoloff et al., 1992).

While the SN and hypothalamus have been previously studied with [¹¹C](+)PHNO and found to be rich in D₃R, the amygdala has not and our findings here should be viewed cautiously due to relatively low BP_{ND} values in this region (which adds more overall variability to these results). With that caveat stated, if confirmed, these preliminary results could be a potentially important finding as the amygdala contributes to learned associations between rewarding properties of drugs and cues (Koob, 2003; Koob and Volkow, 2010). In chronic cocaine users, decreased amygdalar volume and changes in functional connectivity involving the amygdala have been described (Gu et al., 2010; Makris et al., 2004). In rodents,

D₃R antagonists injected into the amygdala have decreased cocaine self-administration under second-order schedules of reinforcement (Di Ciano, 2008), anxiety-like behaviors (Diaz et al., 2011) and more recently cocaine seeking (Xi et al., 2013). In addition, the amygdala has been implicated in cocaine-induced behavioral inflexibility (Stalnaker et al., 2007), cocaine memory reconsolidation (Wells et al., 2012) and stress-induced relapse (Smith and Aston-Jones, 2008). Despite not knowing the attributable D₃R percentage in the amygdala of humans, these findings suggest that the D₃R receptors represent an attractive pharmacologic target in this region.

It is noteworthy to consider the absence of between-group differences in [¹¹C](+)PHNO binding potential values in D₂R-rich regions like the caudate and putamen. These findings contrast with those using the D₂/D₃ antagonist ligand [¹¹C]raclopride to examine CD (Martinez et al., 2004, 2011; Volkow et al., 1997, 2006; Wong et al., 2006). Several explanations exist. First, low endogenous dopamine levels in CD could differentially increase available [¹¹C](+)PHNO binding, muting more robust differences seen in D₂R-rich areas with antagonist tracers. This possibility was given more credence recently as [¹¹C](+)PHNO was found to be more sensitive than [¹¹C]raclopride in detecting the fluctuations of extracellular dopamine in humans (Shotbolt et al., 2012), complementing earlier work on anesthetized animals in the striatum (Ginovart et al., 2006). Second, given the high affinity of dopamine for D₃R (Schotte et al., 1996; Sokoloff et al., 1992), another plausible explanation could relate to striatal D₃R. Although previous [¹¹C](+)PHNO studies have suggested relatively negligible D₃R binding in the caudate and putamen (Gallezot et al., 2012; Searle et al., 2010; Tziortzi et al., 2011), repeated exposure to L-DOPA in rats can increase striatal D₃R (Bordet et al., 1997). Thus, potential D₂R losses could be concealed by up-regulation of the D₃R (Boileau et al., 2012). Third, the agonist profile of [¹¹C](+)PHNO has increased affinity to a proposed high-affinity state (D_{2High}) that reflects receptors that are coupled to G-proteins (as opposed to a low-affinity state where receptors are uncoupled from G-proteins, D_{2Low}; Graff-Guerrero et al., 2009; Seeman, 2012; Skinbjerg et al., 2012). This differential binding could have also blunted differences, but this possibility has been called into question recently with evidence that agonists (including [¹¹C](+)PHNO) are not selective for dopamine D_{2High} receptors, but also bind to the D_{2Low} state of the dopamine receptors (Seeman, 2012). Evidence for this phenomena explaining our results seems inconclusive, with a current review describing the controversy of whether D_{2High} can be measured in vivo (Skinbjerg et al., 2012).

The current study was limited by a small sample size that may have precluded the identification of some between-group differences in [¹¹C](+)PHNO binding, particularly in other regions demonstrating moderate levels of D₃R expression (e.g., the thalamus, ventral striatum and pallidum). In addition, despite not finding any correlations with the use of other substances (e.g., alcohol and nicotine) in the CD group, we cannot exclude the possibility that differences in non-cocaine substance use between the CD and HC groups and/or ethnicity may have partially confounded the results. That being said, we believe such effects are explanatory for the SN given previously positive findings in matched cohorts (Payer et al., 2014). Despite such limitations and some unresolved questions, this study lends support to the continued development of D₃R-related treatments for CD. The first report of a D₃R antagonist in the clinical treatment of substance abuse was recently reported to reduce self-reported craving in cigarette smoking (Mugnaini et al., 2012), and it possible that D₃R antagonism might normalize a hypofunctional dopamine system in CD (Heidbreder et al., 2005; Xi and Gardner, 2007). Given these prospects, further studies can focus on reproducing these findings with a larger sample size to improve generalizability to CD clinical populations, investigating how long-lasting these differences exist in abstinence, and

potentially linking clinically relevant constructs like craving, impulsivity, drug self-administration and deficits in executive functions (Ersche et al., 2012) with D₃R availability.

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Conflict of interest

The authors report no conflicts of interest related to the current study. Dr. Potenza has served as a consultant or advisor to Boehringer Ingelheim, Somaxon, gambling businesses and organizations, law offices, the federal defender's office in issues regarding impulse control disorders. He has received research support from the National Institutes of Health, Veteran's Administration, Mohegan Sun Casino, the National Center for Responsible Gaming, Psyadon, Forest Laboratories, Ortho-McNeil, Oy-Control/Biotie, and GlaxoSmithKline.

Authors' contributions

DM, REC, RTM and YSD wrote the protocols and designed the study in this manuscript. JDG, DEL, KL, MQZ, SFL, DL preprocessed data and DM and JDG prepared data for final analyses. JW and JH provided clinical expertise. EG completed the background literature search. BP completed the statistical analyses. DM wrote the first draft of the manuscript. All authors have approved the final manuscript.

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